Pending

Pending

(Status-patented/pending/abandoned)

(Status-patented/pending/abandoned)



DECLARATION FOR PATENT APPLICATION

named inventor, I hereby declare that:

My resident, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled MONOCLONAL ANTIBODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1, RECOMBINANT MAGE-1, AND MAGE-1 DERIVED

IMMUNOGENIC PEP	<u> </u>	ication of w	<i>rhich</i>		
() is attached (X) was filed or and was amen applicable).	hereto. 1 <u>February 1, 1</u> ded on (1) <u>May</u>	994 <u> as A</u> p <u>2, 1994</u> ,	oplication Ser (2)	rial No 0	8/190,411 (if
I hereby state t identified speci referred to abov	ification, inclu	wed and under the cla	erstand the co aims, as amend ^	ontents of ded by any	the above amendment
I acknowledge t examination of t Regulations, 1.5	his application	close inform in accordan	nation which ce with Title	is materi 37, Code	al to the of Federal
Foreign Priority I hereby claim if 119 of any foreich below and have continuentor's certical which priority if	foreign priority gn application(s also identified ficate having a) for patent below any f	or inventor's Toreign applic	s certific ation for	ate listed patent or
				<u>Priority</u>	<u>Claimed</u>
(Number)	(Country)	- (Day/Month	n/Year Filed)	Yes ()	No ()
(Number)	(Country)	(Day/Month	/Year Filed)	Yes ()	No ()
U.S. Priority Ap I hereby claim to United States ap of each of the United States ap Title 35, United material informates \$1.56(a) which of the national or	the benefit under plication(s) list claims of this oplication in the Code, ation as defined occurred between	sted below as application of manner property of the state	nd, insofar as not discovided by the cknowledge the B7, Code of F date of the pr	s the subj closed in first pa e duty to ederal Re cior appli	ect matter the prior ragraph of disclose gulations, cation and
037,230	March 26.	1993	Pe	ndina	

March 26, 1993

(Filing Date)

May 22, 1992

(Filing Date)

(Applic. Serial No.)

(Applic. Serial No.)

PCT/US92/04354

Pending

(Status-patented/pending/abandoned)

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807,043

(Applic. Serial No.)

DECLARATION FOR PATENT APPLICATION

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IMMUNOGENIC PEPTIDES, the specification of which
() is attached hereto. (X) was filed on <u>February 1, 1994</u> as Application Serial No. <u>08/190,411</u> and was amended on (1), (2) (if applicable).
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.
I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).
Foreign Priority Applications I hereby claim foreign priority benefits under Title 35, United States Code 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: Priority Claimed
(Number) (Country) (Day/Month/Year Filed) Yes () No ()
<u>U.S. Priority Applications</u> I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:
037,230 March 26, 1993 Pending
(Applic. Serial No.) (Filing Date) (Status-patented/pending/abandoned) PCT/US92/04354 May 22, 1992 Pending (Applic. Serial No.) (Filing Date) (Status-patented/pending/abandoned)

December 12, 1991

(Filing Date)

UD 5354-JEL/NDH

807,043 (Applic. Serial No.)	<u>December 12, 1991</u> (Filing Date)	Pending (Status-patented/pending/abandoned)
764,365 (Applic. Serial No.)	<u>September 23, 1991</u> (Filing Date)	Pending (Status-patented/pending/abandoned)
728,838 (Applic. Serial No.)	July 9, 1991 (Filing Date)	Pending (Status-patented/pending/abandoned)
705,702 (Applic. Serial No.)	May 23, 1991 (Filing Date)	Pending (Status-patented/pending/abandoned)

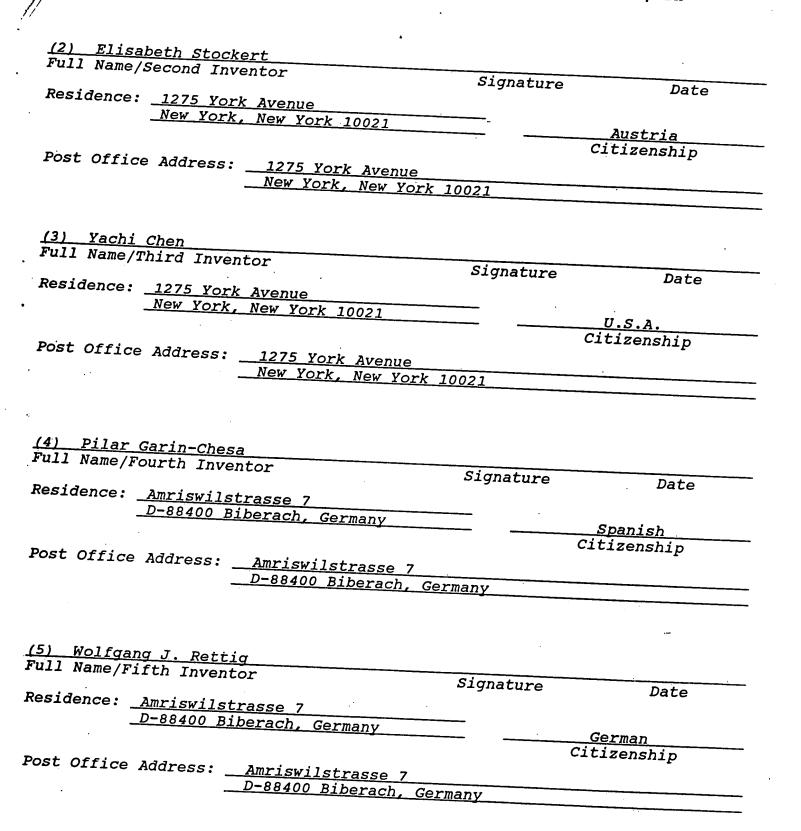
Power of Attorney

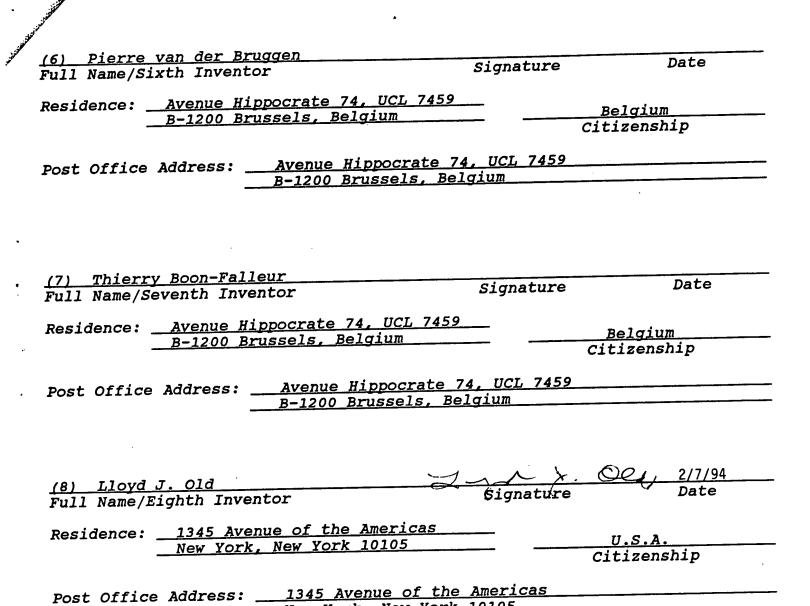
I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: John E. Lynch, Reg. No. 20,940; Peter F. Felfe, Reg. No. 20,297; Alfred H. Hemingway, Jr., Reg. No. 26,736; Vincent M. Fazzari, Reg. No. 26,879; Norman D. Hanson, Reg. No. 30,946; Walter G. Weissenberger, Reg. No. 17,344; F. Brice Faller, Reg. No. 29,532; Andrew L. Tiajoloff, Reg. No. 31,575; John P. Luther, Reg. No. 32,261; Christine H. Tsai, Reg. No. 34,266; John A. Bauer, Reg. No. 32,554, and Patricia A. Pasqualini, Reg. No. 34,894, my attorneys with full power of substitution and revocation. Address all telephone calls to Norman D. Hanson, at (212) 688-9200. Address all correspondence to:

FELFE & LYNCH 805 Third Avenue New York, New York 10022

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(1) Yao-Tseng Chen		
Full Name/Sole or First Inventor	Signature	Date
Residence: <u>Cornell Medical Center</u>	•	
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	C	itizenship
Post Office Address: Dept. of Pathology, Ne	ew York Hospita	11
Cornell Medical Center	, New York, New	V York 10021





New York, New York 10105



DECLARATION FOR PATENT APPLICATION

#4

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() is attached hereto.	•
(X) was filed on <u>February 1, 1994</u> as and was amended on (1) May 2, 1994	Application Serial No. <u>08/190,411</u> (if
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Foreign Priority Applications

I hereby claim foreign priority benefits under Title 35, United States Code 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

			Priority	<u>Claimed</u>
(Number)	(Country)	(Day/Month/Year Filed)	Yes ()	No ()
(Number)	(Country)	(Day/Month/Year Filed)	Yes ()	No ()

U.S. Priority Applications

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

037,230 (Applic. Serial No.)	March 26, 1993 (Filing Date)	Pending (Status-patented/pending/abandoned)
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DECLARATION FOR PATENT APPLICATION

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			<u>Priority</u>	<u>Claimed</u>
		(Day/Warth/Wass Filed)	Yes ()	No ()
(Number)	(Country)	(Day/Month/Year Filed)	Yes ()	No ()
(Number)	(Country)	(Day/Month/Year Filed)	res ()	NO ()

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807.043 (Applic. Serial No.) 764,365 (Applic. Serial No.)	December 12, 1991 (Filing Date) September 23, 1991 (Filing Date) July 9, 1991	Pending (Status-patented/pending/abandoned) Pending (Status-patented/pending/abandoned)
(Applic. Serial No.) 705,702	(Filing Date)	Pending (Status-patented/pending/abandoned)
(Applic. Serial No.)	May 23, 1991 (Filing Date)	Pending (Status-patented/pending/abandoned)

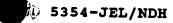
Power of Attorney

I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: John E. Lynch, Reg. No. 20,940; Peter F. Felfe, Reg. No. 20,297; Alfred H. Hemingway, Jr., Reg. No. 26,736; Vincent M. Fazzari, Reg. No. 26,879; Norman D. Hanson, Reg. No. 30,946; Walter G. Weissenberger, Reg. No. 17,344; F. Brice Faller, Reg. No. 29,532; Andrew L. Tiajoloff, Reg. No. 31,575; John P. Luther, Reg. No. 32,261; Christine H. Tsai, Reg. No. 34,266; John A. Bauer, Reg. No. 32,554, and Patricia A. Pasqualini, Reg. No. 34,894, my attorneys with full power of substitution and revocation. Address all telephone calls to Norman D. Hanson, at (212) 688-9200. Address all correspondence to:

FELFE & LYNCH 805 Third Avenue New York, New York 10022

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(1) Yao-Tseng Chen	\mathcal{O}_{-}	
Full Name/Sole or First Inventor	// Digitallure 5-4	_
Residence: <u>Cornell Medical Cent</u> <u>New York, New York 1</u>	10021 AL	
Post Office Address: Dent of a	Citizenship	_
Post Office Address: <u>Dept. of F</u> <u>Cornell Me</u>	Pathology, New York Hospital Edical Center, New York, New York 10021	-



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200	11 0-	
(2) Elisabeth Stockert	Storley	July 13, 1995
Full Name/Second Inventor	Signature	Date
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TOW TOLK, NEW TOLK TOUZING		<u>Austria</u>
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. 300	(1)	-/ /-
(3) Yachi Chen Yachi	Nelle-	7/22/95
Full Name/Third Inventor	Signature	Date
Pogidonge, 1975 W. V.	y	Date
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		Citizenship
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(4) Pilar Garin-Chesa		
Full Name/Fourth Inventor	Signature	Date
•	Dignacare	Date
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		Citizenship
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D-88400 Biberach, Ge	. Sem o n r r	
	<u>I many</u>	
(5) Wolfgang T. Datti.		
(5) Wolfgang J. Rettig Full Name/Fifth Inventor		
Tall Name/Filth inventor	Signature	Date
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D-88400 Biberach, Germany		German
		Citizenship
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Post Office Address: <u>Amriswilstrasse 7</u>		
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(6) Pierre van der Bruggen		
Full Name/Sixth Inventor	Signature	Date
Residence: Avenue Hippocrate 74, UCL 7459		
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7 20242671		Citizenship
•		Cicizenship
Post Office Address: <u>Avenue Hippocrate 74</u>	IICI. 7450	
1200 Brussels, Belgi	, <u>OCH /433</u>	
	A III	
(7) Thierry Boon-Falleur	•	
Full Name/Seventh Inventor	G5 +	
Tull Manic/Deventin Inventor	Signature	Date
Residence: Avenue Hippocrate 74, UCL 7459		
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_1200 Blussels, Belglum		<u>Belgian</u>
		Citizenship
Post Office Address. Access Williams		
Post Office Address: <u>Avenue Hippocrate 74</u>	. UCL 7459	
<u> 1200 Brussels, Belgi</u> u	ım	
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(0) T1 7 T 1 1		
(8) Lloyd J. Old		
Full Name/Eighth Inventor	Signature	Date
B		
Residence: <u>1345 Avenue of the Americas</u>		
New York, New York 10105		U.S.A.
		Citizenship
		··· •
Post Office Address: 1345 Avenue of the A	mericas	
New York, New York 10	105	

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/807,043 (Applic. Serial No.)	<u>December 12, 1991</u> (Filing Date)	Pending
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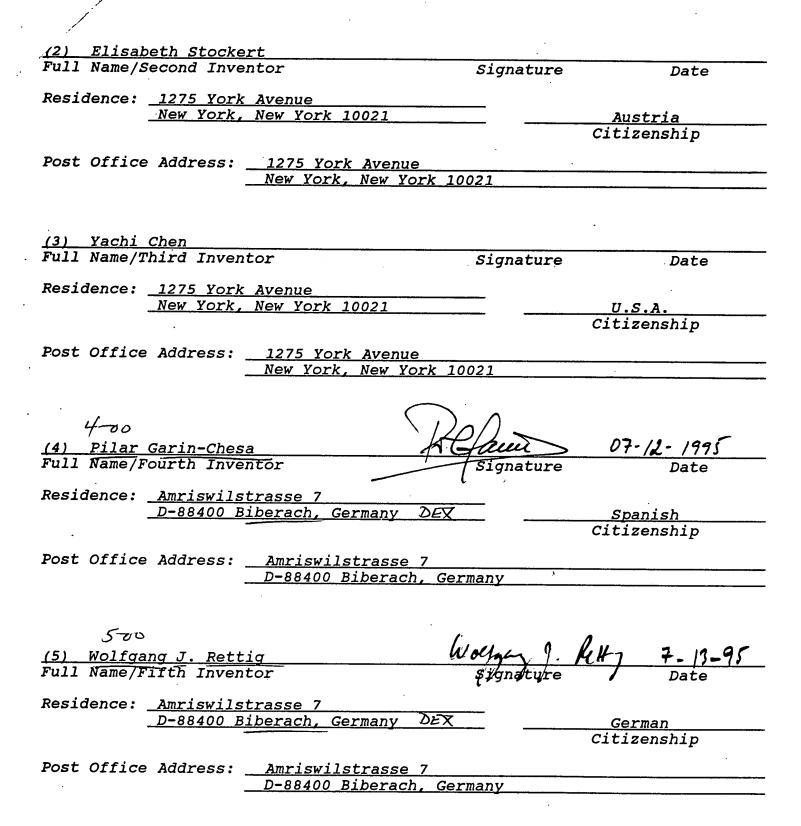
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Full Name/Sole or First Inventor	Signature	Date
Residence: Cornell Medical Center		
New York, New York 10021		<u> Taiwan</u>
	Cit	tizenship
Post Office Address: Dept. of Pathology, N	New York Hospital	
Cornell Medical Cente	<u>r, New York, New</u>	York 10021



(6) Pierre van der Bruggen		
Full Name/Sixth Inventor	Signature	Date
Residence: Avenue Hippocrate 74, UCL 7459		
1200 Brussels, Belgium		
1200 Blussels, Belgium		Belgian
		Citizenship
Post Office Address: Avenue views		-
Post Office Address: Avenue Hippocrate 7	4. UCL 7459	
1200 Brussels, Belg:	ium	
•		
(7) Thierry Boon-Falleur		
Full Name/Seventh Inventor		
rail Mame/Seventh Inventor	Signature	Date
Residence: Avonus Vinnesusta T.		
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1200 Brussels, Belgium		Belgian
		Citizenship
Post Office Address.		•
Post Office Address: <u>Avenue Hippocrate 7</u>	4. UCL 7459	
1200 Brussels, Belgi	um	
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	_	•
(8) Lloyd J. Old	Jan.	1, 19, 1005
Full Name/Eighth Inventor	Signature	Date
Posidongo. 1245 January		
Residence: 1345 Avenue of the Americas	/	
New York, New York 10105	_ 	U.S.A.
		Citizenship
Post Office 144	/	
Post Office Address: 1345 Avenue of the A	<i>Imericas</i>	
New York, New York 1	<i>b</i> 105	



LUD 253.6-JEL/NDH

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(6) Pierre van der Bruggen	Jan 1	11.02.94
Full Name/Sixth Inventor	Signature	Date
Residence: <u>Avenue Hippocrate 74, U</u> <u>B-1200 Brussels, Belgiu</u>	ICL 7459	
		elgium
•		izenship
Post Office Address: <u>Avenue Hippo</u> <u>B-1200 Bruss</u>	ocrate 74, UCL 7459 els, Belgium	
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79) mt.1 =	7/ 13 51	. 4
(7) Thierry Boon-Falleur	Signature	10 feb 1994
Full Name/Seventh Inventor	Signature	Date
Residence: Avenue Vilnes auch		
Residence: <u>Avenue Hippocrate 74. U</u>		
B-1200 Brussels, Belgiu		algium
	Cit	zenship
Post Office Address: Avenue Hippo	aroto 74 Mat 7450	
R-1200 Briss	els, Belgium	
	els, Beldium	·
		•
(8) Lloyd J. 01d		•
Full Name/Eighth Inventor	Signature	Dodo
	_	Date
Residence: 1345 Avenue of the Amer	icas	
New York, New York 1010.	_	S.A.
		zenship
		.eemonth
Post Office Address: <u>1345 Avenue</u>	of the Americas	
New York, Net	w York 10105	



(6) Pierre van der	Dans		
Full Name/Sixth Inve	Bruggen		
		Signature	
Residence: <u>Avenue H.</u>		Dignature	Date
1200 Pro	1ppocrate 74, UCL 7	7459	_
	ssels, Belgium		
	1		Belgian
Post Office Address:			Citizenship
- clilce Address:	Avenue Hippocrat	0 74	
	1200 Brussels, B	elgium	
·		<u>orgrum</u>	
(7) Thiomy Day	_		,
(7) Thierry Boon-Fal	<u>leur</u>		
Full Name/Seventh Inv	entor	C.	···
Residence		Signature	Date
Residence: Avenue Hi	ppocrate 74. UCL 7.	450	246
<u> 1200 Brus</u>	sels, Belgium	1 39	
	32.411		Belgian
Post offi		Č	itizenship
Post Office Address:	Avenue Hippograte	2.74	
	1200 Brussels, Be	74, UCL 7459	
	Especis, Be	elgium	
(8) 77000 7			
(8) Lloyd J. Old			
Tull Name/Eighth Inven	itor		_
		Signature	Date
Residence: <u>1345 Avenu</u> New York	e of the American		Date
New York,	New York 10105		
	10103		U.S.A.
		C	tizenship
loot occi		·	zensurp
ost Office Address:	1345 Avenue of		
ost Office Address: _	1345 Avenue of the New York, New York	e Americas	

1996 Applicant/Patentee: Tseng Chen et al. Serial/Patent ADEN 08/190,411 Filed/Issued:_ February 1, 1994 For: MONOCLONAL ANTIBODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1, RECOMBINANT MAGE-1, AND MAGE-1 DERIVED IMMUNOGENIC PEPTIDES Attorney's Docket No.: LUD 354-JEL/NDH VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION I hereby declare that I am an official empowered to act on behalf of the nonprofit NAME OF ORGANIZATION Ludwig Institute for Cancer Research ADDRESS OF ORGANIZATION_ 1345 Avenue of the Americas New York, New York 10105 TYPE OF ORGANIZATION () UNIVERSITY OR OTHER INSTITUTE OF HIGHER EDUCATION TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) (X) NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES () (NAME OF STATE (CITATION OF STATUTE WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) () and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF () THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA (CITATION OF STATE_ I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled MONOCLONAL ANTIBODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1, REOMBINANT MAGE-1, AND MAGE-1 DERIVED IMMUNOGENIC PEPTIDES by inventor(s) Yao-Tseng Chen et al.

described in

- the specification filed herewith
- application Serial No. 08/190,411, filed February 1, 1994. (X) () Patent No.
- , issued

20

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

Cont'd

FELFE & LYNCH 805 Third Avenue New York, New York 10022 (212) 688-9200

FEB 20 84
Applicant Pabence: Kyao-Tseng Chen et al.
Serial/Pagent No. 10/08/190,411
Filed/Issued DEMAR February 1, 1994
For: MONOCLONAL ANTIBODIES WHICH BIND TO TUMOR REJECTION ANTIGEN PRECURSOR MAGE-1,
RECOMBINANT MAGE-1, AND MAGE-1 DERIVED IMMUNOGENIC PEPTIDES
Attorney's Docket No.: LUD 253.6-JEL/NDH
If the rights held by the nonprofit organization are not exclusive, each individual, concern
or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an
independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small
business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE:
Separate verified statements are required from each named person, concern or organization
having rights to the invention averring to their status as small entities. (37 CFR 1.27).
NAME
ADDRESS
() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)). I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United
States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.
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